## Foundation Design - Structure

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<th>Notation</th>
<th>Definition</th>
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<td>$a$</td>
<td>equivalent square column size in spread footing design</td>
</tr>
<tr>
<td>$a$</td>
<td>depth of the effective compression block in a concrete beam</td>
</tr>
<tr>
<td>$A$</td>
<td>name for area</td>
</tr>
<tr>
<td>$A_g$</td>
<td>gross area, equal to the total area ignoring any reinforcement</td>
</tr>
<tr>
<td>$A_{req}$</td>
<td>area required to satisfy allowable stress</td>
</tr>
<tr>
<td>$A_s$</td>
<td>area of steel reinforcement in concrete design</td>
</tr>
<tr>
<td>$A_1$</td>
<td>area of column in spread footing design</td>
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<tr>
<td>$A_2$</td>
<td>projected bearing area of column load in spread footing design</td>
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<tr>
<td>$b$</td>
<td>width of retaining wall stem at base</td>
</tr>
<tr>
<td>$b_f$</td>
<td>width of the flange of a steel or cross section</td>
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<tr>
<td>$b_o$</td>
<td>perimeter length for two-way shear in concrete footing design</td>
</tr>
<tr>
<td>$B$</td>
<td>spread footing or retaining wall base dimension in concrete design</td>
</tr>
<tr>
<td>$B_s$</td>
<td>width within the longer dimension of a rectangular spread footing that reinforcement must be concentrated within for concrete design</td>
</tr>
<tr>
<td>$c$</td>
<td>rectangular column dimension in concrete footing design</td>
</tr>
<tr>
<td>$C$</td>
<td>dimension of a steel base plate for concrete footing design</td>
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<tr>
<td>$d$</td>
<td>effective depth from the top of a reinforced concrete member to the centroid of the tensile steel</td>
</tr>
<tr>
<td>$d_b$</td>
<td>bar diameter of a reinforcing bar</td>
</tr>
<tr>
<td>$d_f$</td>
<td>depth of a steel column flange (wide flange section)</td>
</tr>
<tr>
<td>$e$</td>
<td>eccentric distance of application of a force (P) from the centroid of a cross section</td>
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<tr>
<td>$f$</td>
<td>symbol for stress</td>
</tr>
<tr>
<td>$f_c'$</td>
<td>concrete design compressive stress</td>
</tr>
<tr>
<td>$f_y$</td>
<td>yield stress or strength</td>
</tr>
<tr>
<td>$F_{\text{horizontal-resisting}}$</td>
<td>total force resisting horizontal sliding</td>
</tr>
<tr>
<td>$F_{\text{sliding}}$</td>
<td>total sliding force</td>
</tr>
<tr>
<td>$F_x$</td>
<td>force in the x direction</td>
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<tr>
<td>$h_f$</td>
<td>height of a concrete spread footing</td>
</tr>
<tr>
<td>$H$</td>
<td>height of retaining wall</td>
</tr>
<tr>
<td>$H_A$</td>
<td>horizontal force due to active soil pressure</td>
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<tr>
<td>$l_d$</td>
<td>development length for reinforcing steel</td>
</tr>
<tr>
<td>$l_{dc}$</td>
<td>development length for column</td>
</tr>
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<td>$l_s$</td>
<td>lap splice length in concrete design</td>
</tr>
<tr>
<td>$L$</td>
<td>name for length or span length</td>
</tr>
<tr>
<td>$L_m$</td>
<td>projected length for bending in concrete footing design</td>
</tr>
<tr>
<td>$L'$</td>
<td>length of the one-way shear area in concrete footing design</td>
</tr>
<tr>
<td>$M$</td>
<td>moment due to a force</td>
</tr>
<tr>
<td>$M_n$</td>
<td>nominal flexure strength with the steel reinforcement at the yield stress and concrete at the concrete design strength for reinforced concrete flexure design</td>
</tr>
<tr>
<td>$M_{\text{overturning}}$</td>
<td>total overturning moment</td>
</tr>
<tr>
<td>$M_{\text{resisting}}$</td>
<td>total moment resisting overturning about a point</td>
</tr>
<tr>
<td>$M_u$</td>
<td>maximum moment from factored loads for LRFD beam design</td>
</tr>
<tr>
<td>$N$</td>
<td>name for normal force to a surface</td>
</tr>
<tr>
<td>$o$</td>
<td>point of overturning of a retaining wall, commonly at the “toe”</td>
</tr>
<tr>
<td>$p_A$</td>
<td>active soil pressure</td>
</tr>
<tr>
<td>$P$</td>
<td>name for axial force vector</td>
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<tr>
<td>$P_{\text{dowels}}$</td>
<td>nominal capacity of dowels from concrete column to footing in concrete design</td>
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<tr>
<td>$P_D$</td>
<td>dead load axial force</td>
</tr>
<tr>
<td>$P_L$</td>
<td>live load axial force</td>
</tr>
<tr>
<td>$P_n$</td>
<td>nominal column or bearing load capacity in concrete design</td>
</tr>
<tr>
<td>$P_u$</td>
<td>factored axial force</td>
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<tr>
<td>$q_{\text{allowable}}$</td>
<td>allowable soil bearing stress in allowable stress design</td>
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</tbody>
</table>
### Foundation Materials

Typical foundation materials include:

- plain concrete
- reinforced concrete
- steel
- wood
- composites, i.e. steel tubing filled with concrete

### Foundation Design

**Generalized Design Steps**

Design of foundations with variable conditions and variable types of foundation structures will be different, but there are steps that are typical to every design, including:

1. Calculate loads from structure, surcharge, active & passive pressures, etc.
2. Characterize soil – hire a firm to conduct soil tests and produce a report that includes soil material properties
3. Determine footing location and depth – shallow footings are less expensive, but the variability of the soil from the geotechnical report will drive choices
4. Evaluate soil bearing capacity – the factor of safety is considered here
5. Determine footing size – these calculations are based on working loads and the allowable soil pressure
6. Calculate contact pressure and check stability
7. Estimate settlements
8. Design the footing structure – design for the material based on applicable structural design codes which may use allowable stress design, LRFD or limit state design (concrete).
Shallow Foundation Types

Considered simple and cost effective because little soil is removed or disturbed.

*Spread footing* – A single column bears on a square or rectangular pad to distribute the load over a bigger area.

*Wall footing* – A continuous wall bears on a wide pad to distribute the load.

*Eccentric footing* – A spread or wall footing that also must resist a moment in addition to the axial column load.

*Combined footing* – Multiple columns (typically two) bear on a rectangular or trapezoidal shaped footing.

*Unsymmetrical footing* – A footing with a shape that does not evenly distribute bearing pressure from column loads and moments. It typically involves a hole or a non-rectangular shape influenced by a boundary or property line.

*Strap footing* – A combined footing consisting of two spread footings with a beam or strap connecting the slabs. The purpose of this is to limit differential settlements.

*Mat foundation* – A slab that supports multiple columns. The mat can be stiffened with a grid or grade beams. It is typically used when the soil capacity is very low.

Deep Foundation Types

Considerable material and excavation is required, increasing cost and effort.

*Retaining Walls* – A wall that retains soil or other materials, and must resist sliding and overturning. Can have counterforts, buttresses or keys.

*Basement Walls* – A wall that encloses a basement space, typically next to a floor slab, and that may be restrained at the top by a floor slab.

*Piles* – Next choice when spread footings or mats won’t work, piles are used to distribute loads by end bearing to strong soil or friction to low strength soils. Can be used to resist uplift, a moment causing overturning, or to compact soils. Also useful when used in combination to control settlements of mats or slabs.

*Drilled Piers* – Soil is removed to the shape of the pier and concrete is added.

*Caissons* – Water and possibly wet soil is held back or excavated while the footing is constructed or dropped into place.
Loads and Stresses

Bearing loads must be distributed to the soil materials, but because of their variability and the stiffness of the footing pad, the resulting stress, or soil pressure, is not necessarily uniform. But we assume it is for design because dealing with the complexity isn’t worth the time or effort.

The increase in weight when replacing soil with concrete is called the overburden. Overburden may also be the result of adding additional soil to the top of the excavation for a retaining wall. It is extra uniformly distributed load that is considered by reducing the allowable soil pressure (instead of increasing the loads), resulting in a net allowable soil pressure, \( q_{net} \):

\[
q_{net} = q_{allowable} - h_f (\gamma_c - \gamma_s)
\]

In order to design the footing size, the actual stress \( P/A \) must be less than or equal to the allowable pressure:

\[
\frac{P}{A} \leq q_{net}
\]

Design Stresses

The result of a uniform pressure on the underside of a footing is identical to a distributed load on a slab over a column when looked at upside down. The footing slab must resist bending, one-way shear and two-way shear (punching).

Stresses with Eccentric Loading

Combined axial and bending stresses increase the pressure on one edge or corner of a footing. We assume again a linear distribution based on a constant relationship to settling. If the pressure combination is in tension, this effectively means the contact is gone between soil and footing and the pressure is really zero. To avoid zero pressure, the eccentricity must stay within the kern. The maximum pressure must not exceed the net allowable soil pressure.

Overturning is considered in design such that the resisting moment from the soil pressure (equivalent force at load centroid) is greater than the overturning moment, \( M \), by a factor of safety of at least 1.5

\[
SF = \frac{M_{resist}}{M_{overturning}} \geq 1.5
\]

where

\( M_{resist} = \) average resultant soil pressure x width x location of load centroid with respect to column centroid

\( M_{overturning} = P \times e \)
**Combined Footings**

The design of combined footing requires that the centroid of the area be as close as possible to the resultant of the two column loads for uniform pressure and settling.

**Retaining Walls**

The design of retaining walls must consider overturning, settlement, sliding and bearing pressure. The water in the retained soil can significantly affect the loading and the active pressure of the soil. The lateral force acting at a height of $H/3$ is determined from the active pressure, $p_A$, (in force/cubic area) as:

Overturning is considered the same as for eccentric footings:

$$ SF = \frac{M_{resist}}{M_{overtuming}} \geq 1.5 - 2 $$

where

\begin{align*}
M_{resist} &= \text{summation of moments about “o” to resist rotation, typically including the moment due to the weight of the stem and base and the moment due to the passive pressure.} \\
M_{overtuming} &= \text{moment due to the active pressure about “o”}.
\end{align*}

Sliding must also be avoided:

$$ SF = \frac{F_{horizontal-resist}}{F_{sliding}} \geq 1.25 - 2 $$

where

\begin{align*}
F_{horizontal-resist} &= \text{summation of forces to resist sliding, typically including the force from the passive pressure and friction (F=µ·N where µ is a constant for the materials in contact and N is the normal force to the ground acting down and is shown as R).} \\
F_{sliding} &= \text{sliding force as a result of active pressure.}
\end{align*}

For sizing, some rule of thumbs are:

- footing size, B
- reinforced concrete, $B \approx 2/5 - 2/3$ wall height (H)
- footing thickness, $h_f \approx 1/12 - 1/8$ footing size (B)
- base of stem, $b \approx 1/10 - 1/12$ wall height (H+$h_f$)
- top of stem, $t \geq 12$ inches
Design of Isolated Square and Rectangular Footings (ACI 318-02)

NOTE: This procedure assumes that the footing is concentrically loaded and carries no moment so that the soil pressure may be assumed to be uniformly distributed on the base.

1) Find service dead and live column loads:
   \[ P_D = \text{Service dead load from column} \]
   \[ P_L = \text{Service live load from column} \]
   \[ P = P_D + P_L \quad \text{(typically – see ACI 9.2)} \]

2) Find design (factored) column load, \( P_U \):
   \[ P_U = 1.2P_D + 1.6P_L \]

3) Find an approximate footing depth, \( h_f \)
   \[ h_f = d + 4" \text{ and is usually in multiples of 2, 4 or 6 inches.} \]

   a) For rectangular columns
      \[ 4d^2 + 2(b+c)d = \frac{P_u}{\phi V} \]

   b) For round columns
      \[ d^2 + ad = \frac{P_u}{\phi V} \quad a = \sqrt{\frac{md^2}{4}} \]
      \[ \phi = 0.75 \text{ for shear} \]

4) Find net allowable soil pressure, \( q_{net} \):
   By neglecting the weight of any additional top soil added, the net allowable soil pressure takes into account the change in weight when soil is removed and replaced by concrete:
   \[ q_{net} = q_{allowable} - h_f (\gamma_c - \gamma_s) \]
   where \( \gamma_c \) is the unit weight of concrete (typically 150 lb/ft\(^3\)) and \( \gamma_s \) is the unit weight of the displaced soil

5) Find required area of footing base and establish length and width:
   \[ A_{req} = \frac{P}{q_{net}} \]
   For square footings choose \( B \geq \sqrt{A_{req}} \)
   For rectangular footings choose \( B \times L \geq A_{req} \)
6) **Check transfer of load from column to footing:**  

ACI 15.8

a) Find load transferred by bearing on concrete in column:  

ACI 10.17 

basic: \( \phi P_n = 0.85 f'_c A_1 \) where \( \phi = 0.65 \) and \( A_1 \) is the area of the column

with confinement: \( \phi P_n = 0.85 f'_c A_1 \sqrt{\frac{A_2}{A_1}} \) where \( \sqrt{\frac{A_2}{A_1}} \) cannot exceed 2.

IF the column concrete strength is lower than the footing, calculate \( \phi P_n \) for the column too.

b) Find load to be transferred by dowels: 

\( \phi P_{dowels} = P_u - \phi P_n \)

IF \( \phi P_n \geq P_u \) only nominal dowels are required.

c) Find required area of dowels and choose bars

\[ \text{Req. dowel } A_s = \frac{\phi P_{dowels}}{\phi f_y} \]

where \( \phi = 0.65 \) and \( f_y \) is the reinforcement grade

Choose dowels to satisfy the required area and nominal requirements:

i) Minimum of 4 bars

ii) Minimum \( A_s = 0.005 A_g \)  ACI 15.8.2.1

where \( A_g \) is the gross column area

iii) 4 - #5 bars

d) Check dowel embedment into footing for compression:  

ACI 12.3

\[ l_{dc} = \frac{0.02 f_y d_b}{\sqrt{f'_c}} \]

but not less than \( 0.003 f_y d_b \) or 8” where \( d_b \) is the bar diameter

NOTE: The footing must be deep enough to accept \( l_{dc} \). Hooks are not considered effective in compression and are only used to support dowels during construction.

e) Find length of lapped splices of dowels with column bars:  

ACI 12.16

\( l_s \) is the largest of:

i) larger of \( l_{dc} \) or \( 0.0005 f_y d_b (f_y \text{ of grade 60 or less}) \)

of smaller bar \( (0.0009 f_y - 24)d_b \) (\( f_y \text{ over grade 60} \))

ii) \( l_{dc} \) of larger bar

iii) not less than 12”

See ACI 12.17.2 for possible reduction in \( l_s \)
7) Check two-way (slab) shear:

a) Find dimensions of loaded area:

i) For concrete columns, the area coincides with the column area, if rectangular, or equivalent square area if circular (see 3)ii)

ii) For steel columns an equivalent loaded area whose boundaries are halfway between the faces of the steel column and the edges of the steel base plate is used: ACI 15.4.2c.

\[ b = b_f + \frac{(B - b_f)}{2} \]

where \( b_f \) is the width of column flange and \( B \) is base plate side

\[ c = d_f + \frac{(C - d_f)}{2} \]

where \( d_f \) is the depth of column flange and \( C \) is base plate side

b) Find shear perimeter: ACI 11.12.1.2

Shear perimeter is located at a distance of \( \frac{d}{2} \) outside boundaries of loaded area and length is \( b_o = 2(c + d) + 2(b + d) \)

(average \( d = h_f - 3 \) in. cover \(- 1 \) assumed bar diameter)

c) Find factored net soil pressure, \( q_u \):

\[ q_u = \frac{P_u}{B^2} \text{ or } \frac{P_u}{B \times L} \]

d) Find total shear force for two-way shear, \( V_{u2} \):

\[ V_{u2} = P_u - q_u(c + d)(b + d) \]

e) Compare \( V_{u2} \) to two-way capacity, \( \phi V_u \):

\[ V_{u2} \leq \phi \left( 2 + \frac{4}{\beta_c} \right) \sqrt{f_c' b_o d} \leq \phi 4 \sqrt{f_c' b_o d} \quad \text{ACI 11.12.2.1} \]

where \( \phi = 0.75 \) and \( \beta_c \) is the ratio of long side to short side of the column

NOTE: This should be acceptable because the initial footing size was chosen on the basis of two-way shear limiting. If it is not acceptable, increase \( h_f \) and repeat steps starting at b).
8) Check one-way (beam) shear:

The critical section for one-way shear extends across the width of the footing at a distance \(d\) from the face of the loaded area (see 7)a for loaded area). The footing is treated as a cantilevered beam. \textbf{ACI 11.12.1.1}

\textbf{a)} Find projection, \(L'\):

i) For square footing:

\[ L' = \frac{B}{2} - \left( d + \frac{b}{2} \right) \]

where \(b\) is the smaller dim. of the loaded area

ii) For rectangular footings:

\[ L' = \frac{L}{2} - \left( d + \frac{\bullet}{2} \right) \]

where \(\bullet\) is the dim. parallel to the long side of the footing

\textbf{b)} Find total shear force on critical section, \(V_u\):

\[ V_u = BL'q_u \]

\textbf{c)} Compare \(V_u\) to one-way capacity, \(\phi V_n\):

\[ V_u \leq \phi 2\sqrt{f'bd} \quad \text{ACI 11.12.3.1} \quad \text{where} \quad \phi = 0.75 \]

NOTE: If it is not acceptable, increase \(h_f\).

9) Check for bending stress and design reinforcement:

Square footings may be designed for moment in one direction and the same reinforcing used in the other direction. For rectangular footings the moment and reinforcing must be calculated separately in each direction. The critical section for moment extends across the width of the footing at the face of the loaded area. \textbf{ACI 15.4.1, 15.4.2}.

\textbf{a)} Find projection, \(L_m\):

\[ L_m = \frac{B}{2} - \frac{\bullet}{2} \]

where \(\bullet\) is the smaller dim. of column for a square footing. For a rectangular footing, use the value perpendicular to the critical section.

\textbf{b)} Find total moment, \(M_u\), on critical section:

\[ M_u = q_u \frac{BL_m^2}{2} \quad \text{(find both ways for a rectangular footing)} \]
c) Find required $A_s$:

$$R_u = \frac{M_u}{bd^2} = \frac{M_u}{\phi bd^2},$$

where $\phi = 0.9$, and $\rho$ can be found found from Figure 3.8.1 of Wang & Salmon.

or:

i) guess $a$

ii) $A_s = \frac{0.85 f'_b a}{f_y}$

iii) solve for $a = 2 \left( d - \frac{M_u}{\phi A_s f_y} \right)$

iv) repeat from ii) until a converges, solve for $A_s$

Minimum $A_s$

$$= 0.0018bh \quad \text{Grade 60 for temperature and shrinkage control}$$

$$= 0.002bh \quad \text{Grade 40 or 50}$$

**ACI 10.5.4** specifies the requirements of 7.12 must be met, and max. spacing of 18”

d) Choose bars:

For square footings use the same size and number of bars uniformly spaced in each direction (**ACI 15.4.3**). Note that required $A_s$ must be furnished in each direction.

For rectangular footings bars in long direction should be uniformly spaced. In the short direction bars should be distributed as follows (**ACI 15.4.4**):

i) In a band of width $B_c$ centered on column:

$$\# \text{ bars} = \frac{2}{L/B + 1} \cdot \# \text{bars in } B \quad (integer)$$

ii) Remaining bars in short direction should be uniformly spaced in outer portions of footing.

e) Check development length:

Find required development length, $l_d$, in tension from handout or from equations in **ACI 12.2**. $l_d$ must be less than $(L_m - 2”)$ (end cover). If not possible, use more bars of smaller diameter.